

# Fixed-point FPGA implementations of Machine Learning Algorithms

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THE UNIVERSITY OF  
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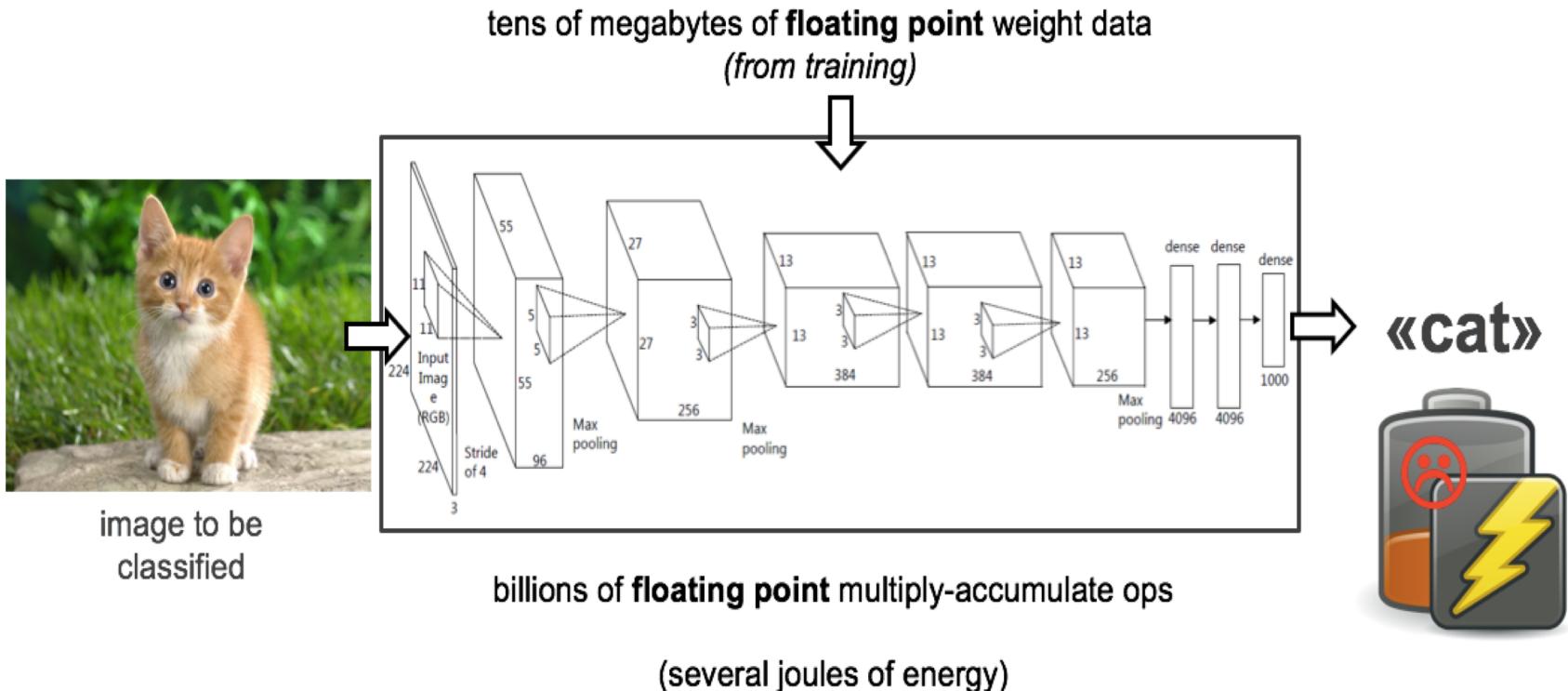
- › Mission: to discover new ways of exploiting parallelism and customisation to solve computationally demanding problems.
  - Study how to achieve improved latency, throughput, energy and area efficiency using field programmable gate array (FPGA), VLSI and cluster computing technology.
- › Research
  - FPGA-based computing
  - Machine learning
  - Signal processing
  - Embedded systems



SYQ technique  
Two-speed multiplier  
LSTM-based spectral predictor

# Inference with Convolutional Neural Networks

Slides from Yaman Umuroglu et. al., “FINN: A framework for fast, scalable binarized neural network inference,” FPGA’17





## › The extreme case of quantization

- Permit only two values: +1 and -1
- Binary weights, binary activations
- Trained from scratch, not truncated FP



## › Courbariaux and Hubara et al. (NIPS 2016)

- Competitive results on three smaller benchmarks
- Open source training flow
- Standard “deep learning” layers
- Convolutions, max pooling, batch norm, fully connected...

	MNIST	SVHN	CIFAR-10
Binary weights & activations	0.96%	2.53%	10.15%
FP weights & activations	0.94%	1.69%	7.62%
BNN accuracy loss	-0.2%	-0.84%	-2.53%

*% classification error (lower is better)*

## Vivado HLS estimates on Xilinx UltraScale+ MPSoC ZU19EG

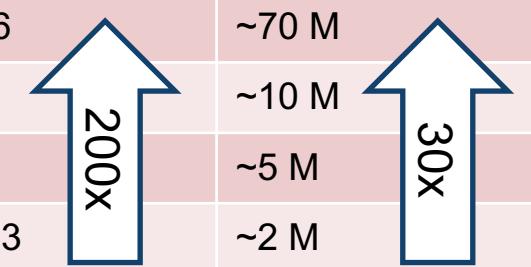
### › Much smaller datapaths

- Multiply becomes XNOR, addition becomes popcount
- No DSPs needed, everything in LUTs
- Lower cost per op = more ops every cycle

### › Much smaller weights

- Large networks can fit entirely into on-chip memory (OCM)
- More bandwidth, less energy compared to off-chip

Precision	Peak TOPS	On-chip weights
1b	~66	~70 M
8b	~4	~10 M
16b	~1	~5 M
32b	~0.3	~2 M



The diagram illustrates the performance scaling of BNNs. It shows two vertical arrows pointing upwards. The left arrow, labeled '200X', connects the 'Peak TOPS' values for 1b (~66) and 32b (~0.3). The right arrow, labeled '30X', connects the 'On-chip weights' values for 1b (~70 M) and 32b (~2 M).

› **fast inference with large BNNs**

# Comparison

FINN

Prior Work

	Accuracy	FPS	Power (chip)	Power (wall)	kFPS / Watt (chip)	kFPS / Watt (wall)	Precision
MNIST, SFC-max	95.8%	12.3 M	7.3 W	21.2 W	1693	583	1
MNIST, LFC-max	98.4%	1.5 M	8.8 W	22.6 W	177	269	1
CIFAR-10, CNV-max	80.1%	21.9 k	3.6 W	11.7 W	6	2	1
SVHN, CNV-max	94.9%	21.9 k	3.6 W	11.7 W	6	2	1
MNIST, Alemdar et al.	97.8%	255.1 k	0.3 W	-	806	-	2
CIFAR-10, TrueNorth	83.4%	1.2 k	0.2 W	-	6	-	1
SVHN, TrueNorth	96.7%	2.5 k	0.3 W	-	10	-	1

Max accuracy loss: ~3%

10 – 100x better performance

CIFAR-10/SVHN energy efficiency comparable to TrueNorth ASIC



## Issues with Low-Precision

- › Who would be willing to incur a loss in accuracy?
- › Can we get better accuracy with a little more hardware?

- To compute quantised weights from FP weights

$$\mathbf{Q}_I = \text{sign}(\mathbf{W}_I) \odot \mathbf{M}_I$$

with,

$$M_{I,i,j} = \begin{cases} 1 & \text{if } |W_{I,i,j}| \geq \eta_I \\ 0 & \text{if } -\eta_I < W_{I,i,j} < \eta_I \end{cases}$$

$$\text{sign}(x) = \begin{cases} 1 & \text{if } x \geq 0 \\ -1 & \text{otherwise} \end{cases}$$

where  $\mathbf{M}$  represents a masking matrix,  $\eta$  is the quantization threshold hyperparameter (0 for binarised)

- Make approximation  $W_I \approx \alpha_I Q_I$ ,  $Q_I \in \mathcal{C}$
- $\mathcal{C}$  is the codebook,  $\mathcal{C} \in \{C_1, C_2, \dots\}$  e.g.  $\mathcal{C} = \{-1, +1\}$  for binary,  $\mathcal{C} = \{-1, 0, +1\}$  for ternary
- A diagonal matrix  $\alpha_I$  is defined by the vector  $\alpha_I = [\alpha_I^1, \dots, \alpha_I^m]$ :

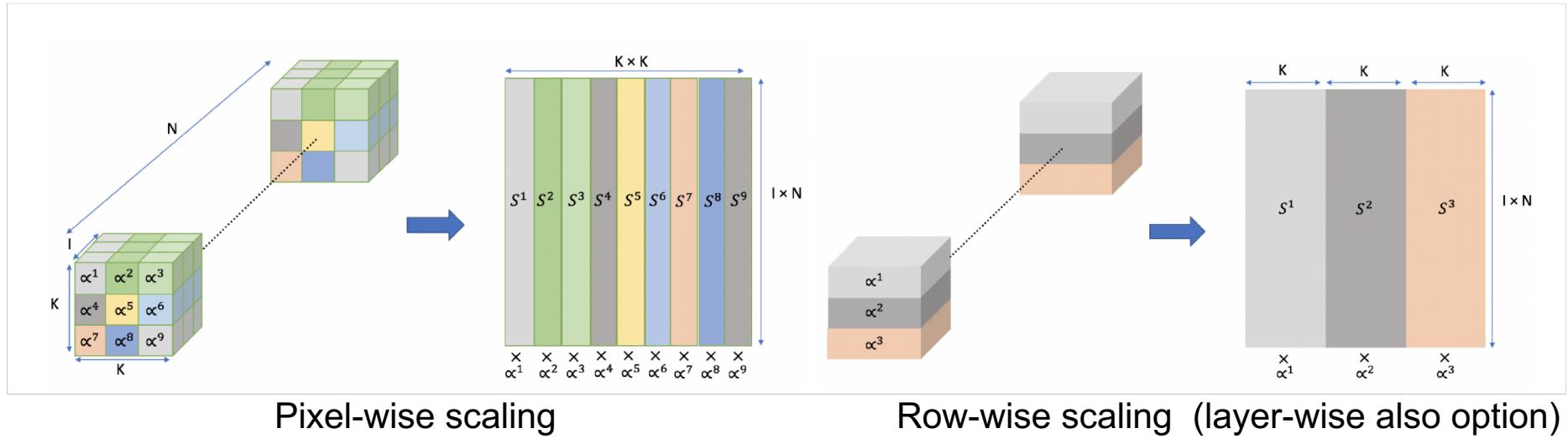
$$\alpha = \text{diag}(\alpha) := \begin{bmatrix} \alpha^1 & 0 & .. & 0 & 0 \\ 0 & \alpha^2 & .. & : & 0 \\ : & : & .. & \alpha^{m-1} & : \\ 0 & 0 & .. & 0 & \alpha^m \end{bmatrix}$$

- Train by solving

$$\alpha_l = \underset{\alpha}{\operatorname{argmin}} E(\alpha, Q) \quad s.t. \quad \alpha \geq 0, Q_{l_{i,j}} \in \mathbb{C}$$



- More fine-grained quantisation can improve approximation of weights



- › Straight through approximator used to address vanishing gradients problem

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### Algorithm 1 SYQ Training Summary For DNNs.

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**Initialize:** Set subgrouping granularity for  $S_l^i$  and set  $\alpha_{l_0}^i$ .

**Inputs:** Minibatch of inputs & targets ( $I, Y$ ), Error function  $E(Y, \hat{Y})$ , current weights  $W_t$  and learning rate,  $\gamma_t$

**Outputs:** Updated  $W_{t+1}$ ,  $\alpha_{t+1}$  and  $\gamma_{t+1}$

#### *SYQ Forward:*

**for**  $l=1$  to  $L$  **do**

$Q_l = sign(W_l) \odot M_l$  **with**  $\eta$ , using (3) & (4)

**for**  $i$ th subgroup in  $l$ th layer **do**

        Apply  $\alpha_l^i$  to  $S_l^i$

**end for**

**end for**

$\hat{Y} = \text{SYQForward}(I, Y, Q_l, \alpha_l)$  using (14)

#### *SYQ Backward:*

$\frac{\partial \hat{E}}{\partial Q_l} = \text{WeightBackward}(Q_l, \alpha_l, \frac{\partial \hat{E}}{\partial \hat{Y}})$  using (12) & (15)

$\frac{\partial \hat{E}}{\partial \alpha_l} = \text{ScalarBackward}(\frac{\partial \hat{E}}{\partial Q_l}, \alpha_l, \frac{\partial \hat{E}}{\partial \hat{Y}})$  using (11)

$W_{t+1} = \text{UpdateWeights}(W_t, \frac{\partial \hat{E}}{\partial Q_l}, \gamma)$

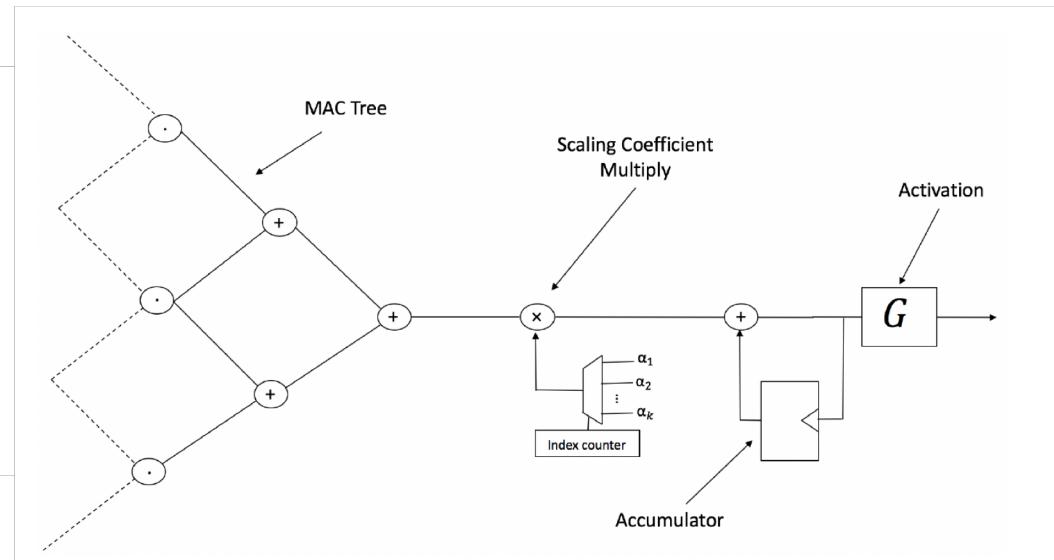
$\alpha_{t+1} = \text{UpdateScalars}(\alpha_t, \frac{\partial \hat{E}}{\partial \alpha_l}, \gamma)$

$\gamma_{t+1} = \text{UpdateLearningRate}(\gamma_t, t)$

---

- › For  $K$  filters,  $I$  Input feature maps of dimension  $F \times F$ ,  $N$  output feature maps
- ›  $P = K^2 I N F^2$

Method	Scalars	Ops
Layer (DoReFa)	1	$P$
Row (SYQ)	$K$	$P$
Pixel (SYQ)	$K^2$	$P$
Asymmetric (TTQ)	2	$P + Z$
Grouping (FGQ)	$K^2 N / 4$	$P$
Channel (HWGQ/BWN)	$N$	$P$



- › Full precision for 1<sup>st</sup> and last layers, CONV layers pixel-wise, FC layer-wise

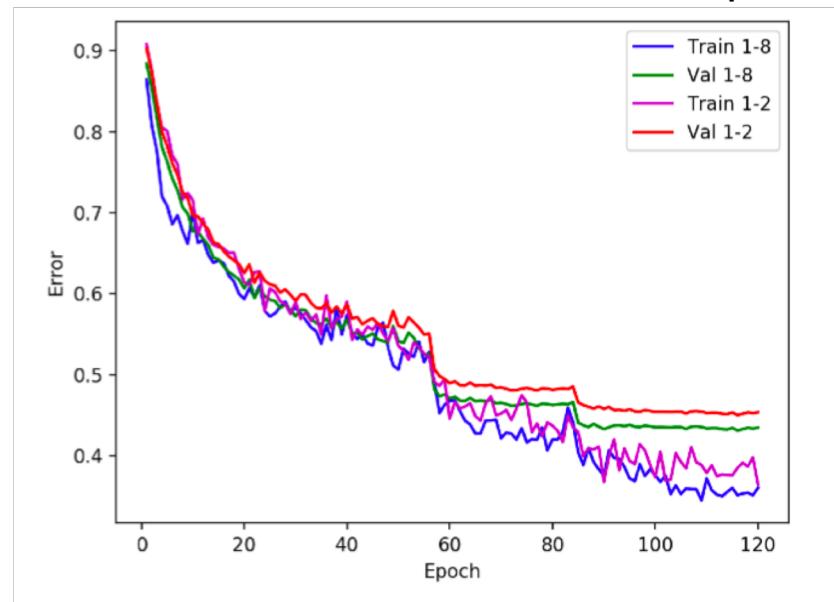
<b>Model</b>		<b>1-8</b>	<b>2-8</b>	<b>Baseline</b>	<b>Reference</b>
AlexNet	Top-1	<b>56.6</b>	<b>58.1</b>	56.6	57.1
	Top-5	<b>79.4</b>	<b>80.8</b>	80.2	80.2
VGG	Top-1	<b>66.2</b>	<b>68.7</b>	69.4	-
	Top-5	<b>87.0</b>	<b>88.5</b>	89.1	-
ResNet-18	Top-1	<b>62.9</b>	<b>67.7</b>	69.1	69.6
	Top-5	<b>84.6</b>	<b>87.8</b>	89.0	89.2
ResNet-34	Top-1	<b>67.0</b>	<b>70.8</b>	71.3	73.3
	Top-5	<b>87.6</b>	<b>89.8</b>	89.1	91.3
ResNet-50	Top-1	<b>70.6</b>	<b>72.3</b>	76.0	76.0
	Top-5	<b>89.6</b>	<b>90.9</b>	93.0	93.0

Baseline is floating-point, reference <https://github.com/facebook/fb.resnet.torch> (ResNet) and <https://github.com/BVLC/caffe> (AlexNet)

# Low-precision Activation and Different Subgrouping

## Alexnet example

- › Lowering activation precision does not severely alter the training curve
  - Suggests gradient information from pixel-wise scaling compensates for information loss
- › Accuracy difference between default pixel-wise and row/layer symmetric quantisation
  - Not much difference between pixel/row-wise except for binary case



Weights	Act.	Row-wise		Layer-wise	
		Top-1	Top-5	Top-1	Top-5
1	2	-0.7	-0.5	-1.4	-2.2
1	8	-0.1	-0.3	-0.4	-2.2
2	2	+0.1	-0.0	-1.3	-1.5
2	8	-0.1	-0.1	-1.9	-1.7

# Comparison with Previous Work

<b>Model</b>	<b>Weights</b>	<b>Act.</b>	<b>Top-1</b>	<b>Top-5</b>
BWN [24]	1	32	60.8	83.0
<b>SYQ</b>	<b>1</b>	<b>8</b>	<b>62.9</b>	<b>84.6</b>
TWN [19]	2	32	65.3	86.2
INQ [32]	2	32	66.0	87.1
TTQ [34]	2	32	66.6	87.2
<b>SYQ</b>	<b>2</b>	<b>8</b>	<b>67.7</b>	<b>87.8</b>

ResNet-18

<b>Model</b>	<b>Weights</b>	<b>Act.</b>	<b>Top-1</b>	<b>Top-5</b>
HWGQ [2]	1	2	64.6	85.9
<b>SYQ</b>	<b>1</b>	<b>4</b>	<b>68.8</b>	<b>88.7</b>
<b>SYQ</b>	<b>1</b>	<b>8</b>	<b>70.6</b>	<b>89.6</b>
FGQ [21]	2	4	68.4	-
<b>SYQ</b>	<b>2</b>	<b>4</b>	<b>70.9</b>	<b>90.2</b>
FGQ [21]	2	8	70.8	-
<b>SYQ</b>	<b>2</b>	<b>8</b>	<b>72.3</b>	<b>90.9</b>

ResNet-50

SYQ technique  
Two-speed multiplier  
LSTM-based spectral predictor



- › Multiplication arguably most important computational primitive
- › High radix Modified Booth Algorithm with Wallace or Dadda trees generally accepted as the highest performing implementation
- › Present technique which introduces a dynamic control structure to remove parts of the computation completely during runtime

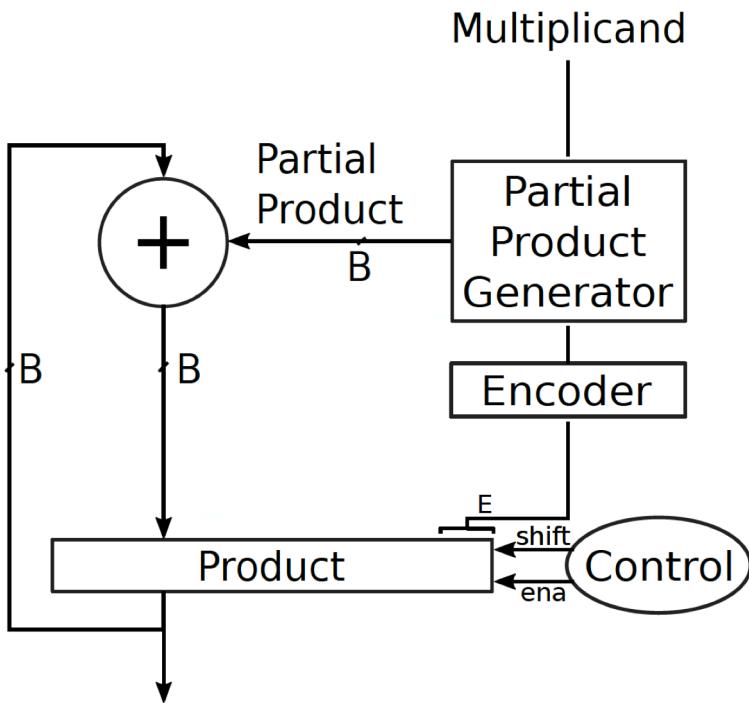


## Radix 4 Signed Multiplication

- ›  $x$  and  $y$  are multiplicand and multiplier
- › for  $n$ -bit multiplication, radix  $r$ , where  $X_i, Y_i$  are the digits
- › Can be expressed recursively as

$$\begin{aligned} p[0] &= 2^{n-2}(Y_1 + Y_0)x \\ p[j+1] &= 2^{-2}(p[j] + 2^n(Y_{2j+1} + Y_{2j} - 2Y_{2j-1})x) \\ &\quad j = 1, \dots, N-1 \\ p &= p[N] \end{aligned}$$

# Radix 4 Booth Serial Multiplier



$$p[0] = 2^{n-2}(Y_1 + Y_0)x$$

$$p[j+1] = 2^{-2}(p[j] + 2^n(Y_{2j+1} + Y_{2j} - 2Y_{2j-1})x) \quad j = 1, \dots, N-1$$

$$p = p[N]$$

TABLE I: Booth Encoding

$Y_{i+2}$	$Y_{i+1}$	$Y_i$	$e_i$
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	2
1	0	0	2̄
1	0	1	1̄
1	1	0	1̄
1	1	1	0

$\bar{2}$  and  $\bar{1}$  represent  $-2$  and  $-1$  respectively.

**Key idea: don't need to add for Partial Product = 0 case**

$$\begin{aligned}
 p[0] &= 2^{n-2}(Y_1 + Y_0)x \\
 p[j+1] &= 2^{-2}(p[j] + 2^n(Y_{2j+1} + Y_{2j} - 2Y_{2j-1})x) \\
 &\quad j = 1, \dots, N-1 \\
 p &= p[N]
 \end{aligned}$$

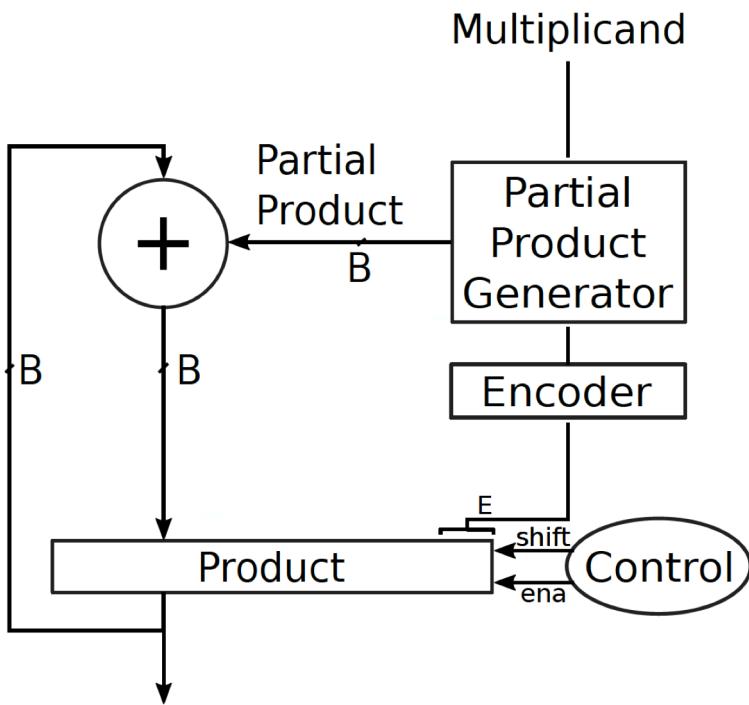


TABLE I: Booth Encoding

$Y_{i+2}$	$Y_{i+1}$	$Y_i$	$e_i$
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	2
1	0	0	2̄
1	0	1	1̄
1	1	0	1̄
1	1	1	0

$\bar{2}$  and  $\bar{1}$  represent  $-2$  and  $-1$  respectively.

# 2-Speed Multiplier Algorithm

**Data:**  $y$ : Multiplier,  $x$ : Multiplicand

**Result:**  $p$ : Product

```

 $p = y;$ 
 $e = (P[0] - 2P[1]);$ 
for  $count = 1$  to  $N$  do
     $PartialProduct = e * x;$ 
     $p = sra(p,2);$ 
     $P[2 * B - 1 : B] += PartialProduct;$ 
     $e = (P[1] + P[0] - 2P[2]);$ 
end
```

**Data:**  $y$ : Multiplier,  $x$ : Multiplicand

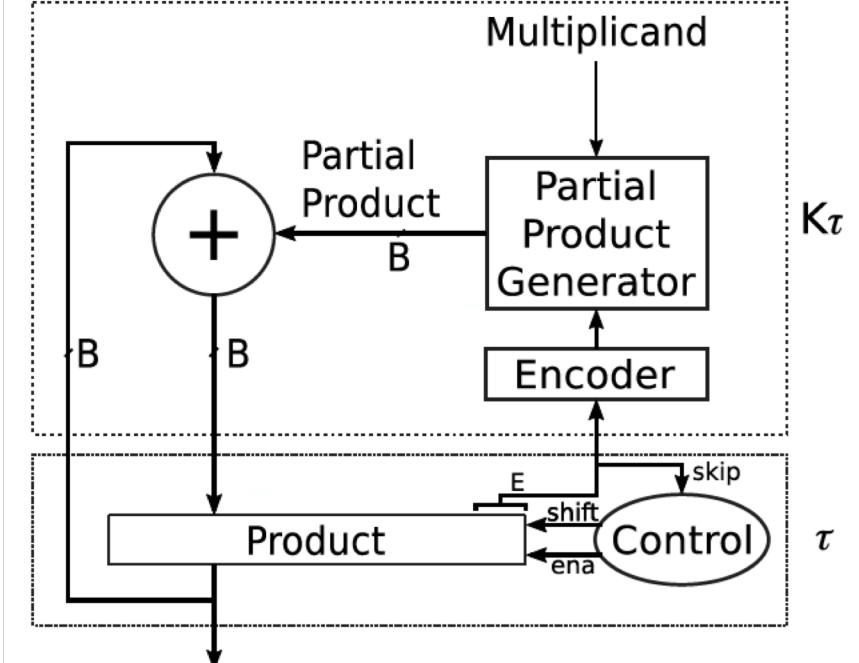
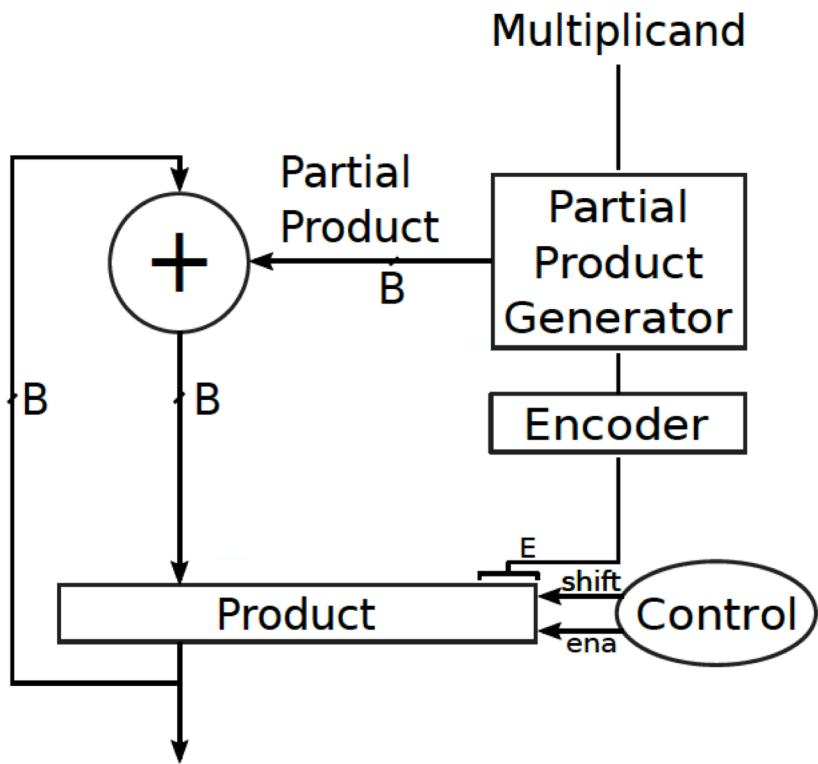
**Result:**  $p$ : Product

```

 $p = y;$ 
 $e = (P[0] - 2P[1]);$ 
for  $count = 1$  to  $N$  do
     $p = sra(p,2);$ 
    // If non-zero encoding, take the  $K\tau$ 
    // path, otherwise the  $\tau$  path
    if  $e \neq 0$  then
        // this path is clocked  $\bar{K}$  times
         $PartialProduct = e * x;$ 
         $P[2 * B - 1 : B] += PartialProduct;$ 
    end
     $e = (P[1] + P[0] - 2P[2]);$ 
end
```

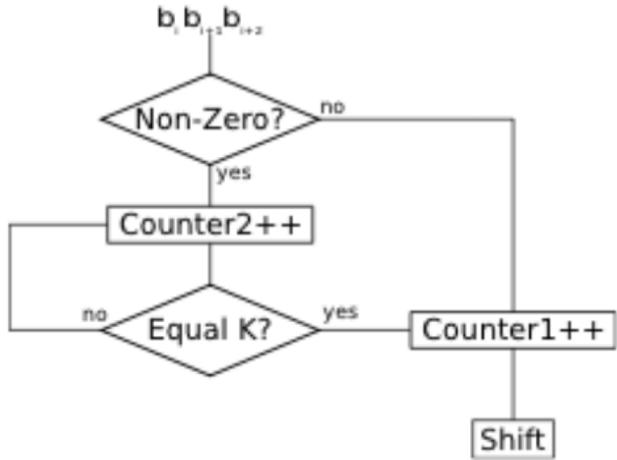
The datapath is split into 2 sections, each with its own critical path

Non-zero encodings take  $\bar{K}\tau$  and zero take  $\tau$

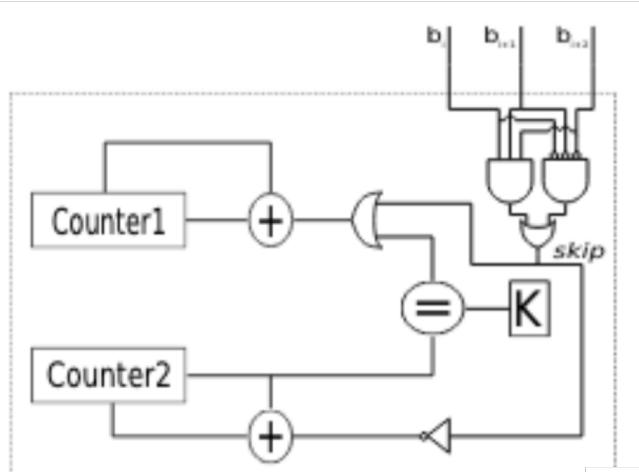




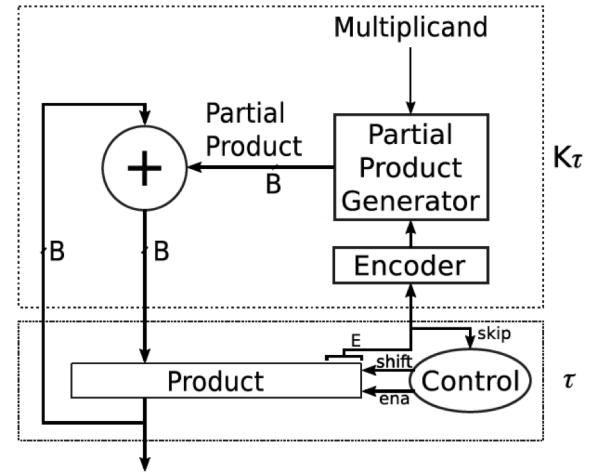
# Two-Speed Control Circuit



(a) Controller flowchart



(b) Control Circuit

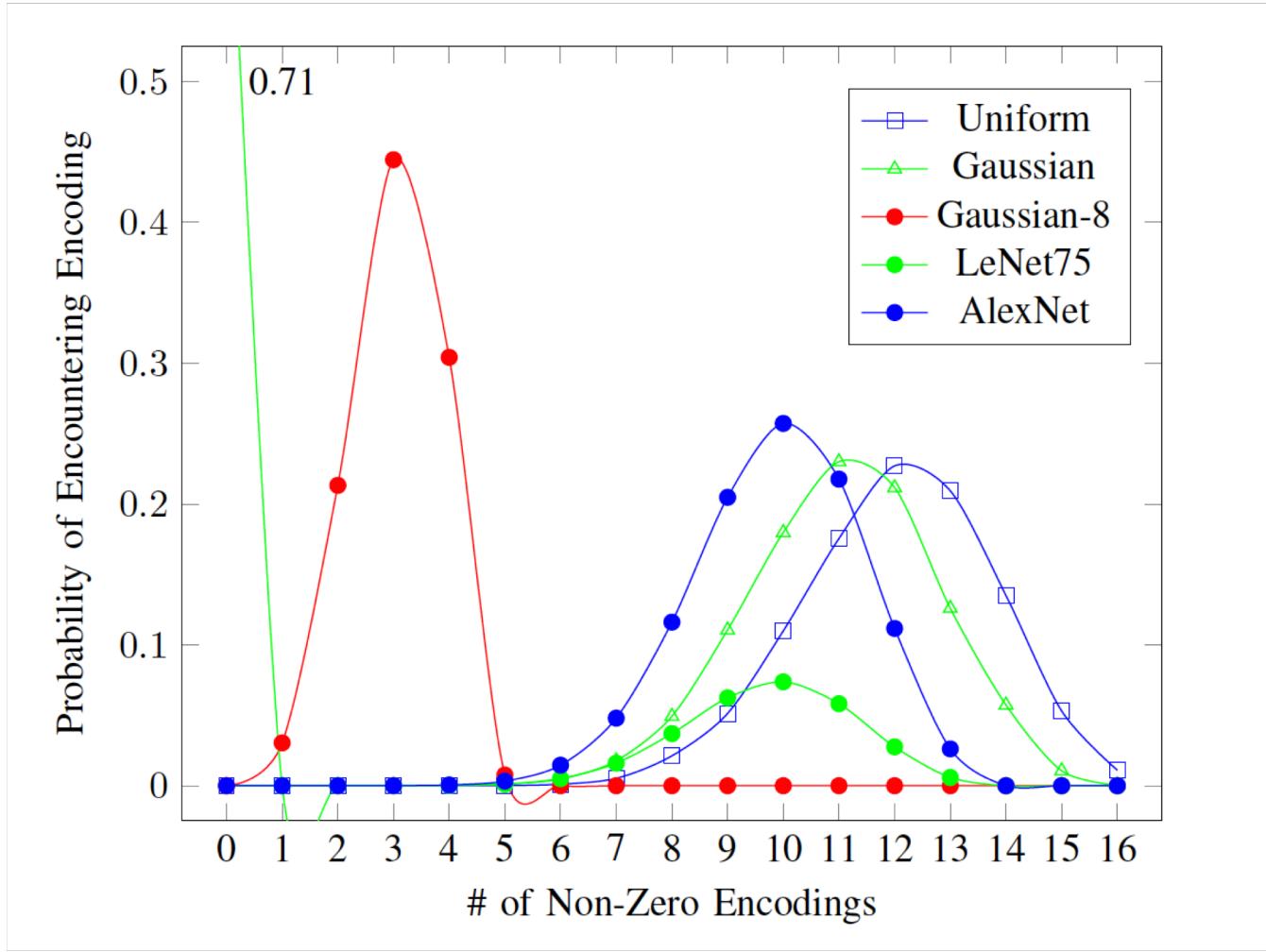




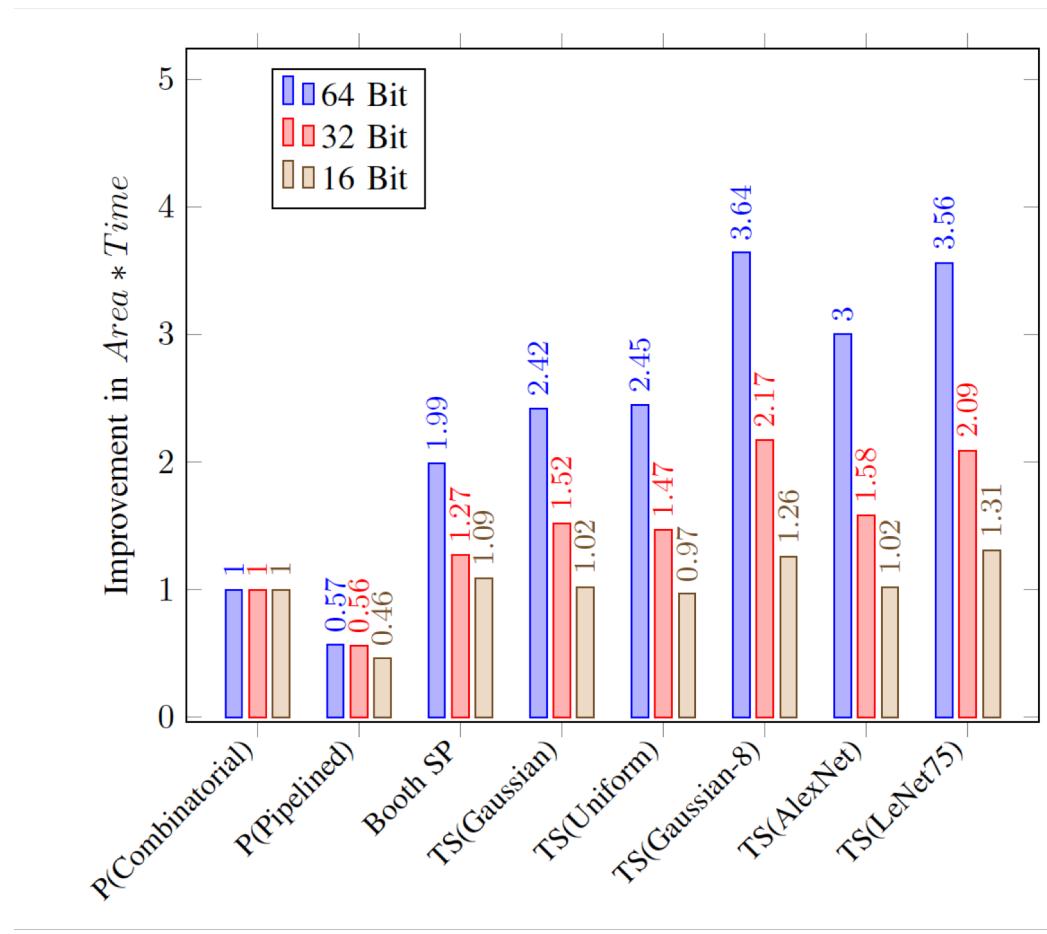
- Non-zero encodings take  $\bar{K}\tau$  and zero take  $\tau$

Bit Representation	Action	Time	Partial Product
1 1 1 1 0 1 0 0 0 1 0 0 0	skip	$\tau$	$0x \times 2^0$
1 1 1 1 0 1 0 0 0 1 0	add	$\tau + \bar{K}\tau$	$1x \times 2^2$
1 1 1 1 0 1 0 0 0	skip	$2\tau + \bar{K}\tau$	$0x \times 2^4$
1 1 1 1 0 1 0	add	$2\tau + 2\bar{K}\tau$	$1x \times 2^6$
1 1 1 1 0	add	$2\tau + 3\bar{K}\tau$	$-1x \times 2^8$
1 1 1	skip	$3\tau + 3\bar{K}\tau$	$0x \times 2^{10}$

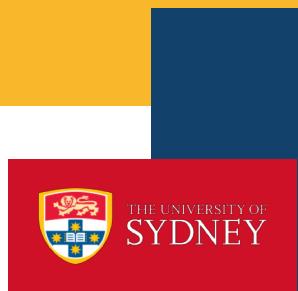
# Distribution of Non-zero Encodings



B	Type	Area (LEs)	Max Delay (ns)	Latency (Cycles)	Power (mW)
64	Parallel(Combinatorial)	5104	14.7	1	2.23
	Parallel(Pipelined)	4695	6.99	4**	9.62
	Booth Serial-Parallel	292	3.9	33	2.23
	Two Speed	304	1.83 ( $\tau$ )	45.2*	5.2
32	Parallel(Combinatorial)	1255	10.2	1	1.33
	Parallel(Pipelined)	1232	4.6	4**	5.07
	Booth Serial-Parallel	156	3.8	17	1.78
	Two Speed	159	1.76 ( $\tau$ )	25.6*	3.18
16	Parallel(Combinatorial)	319	6.8	1	0.94
	Parallel(Pipelined)	368	3.2	4**	3.49
	Booth Serial-Parallel	81	2.72	9	1.67
	Two Speed	87	1.52 ( $\tau$ )	14*	4.35



SYQ technique  
Two-speed multiplier  
LSTM-based spectral predictor

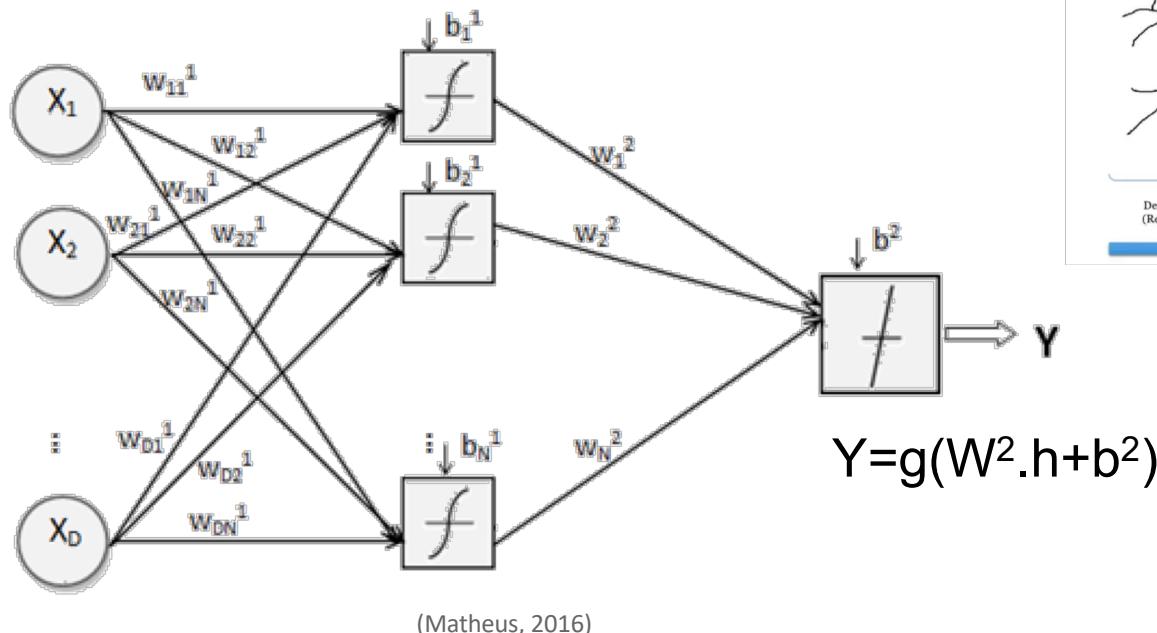




- › Highly dynamic and complex environments pose a challenge for current tactical/cognitive radios
- › LSTMs have been extremely successful at difficult tasks such as speech recognition and machine translation
- › LSTM suitability for real-time radio applications not well studied
- › Can we effectively use ML in the next generation of radios?



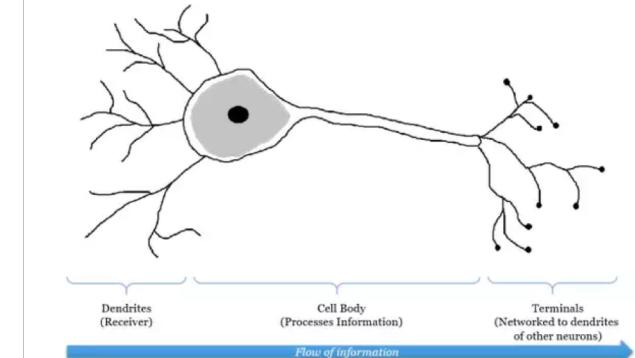
# Feedforward Neural Network

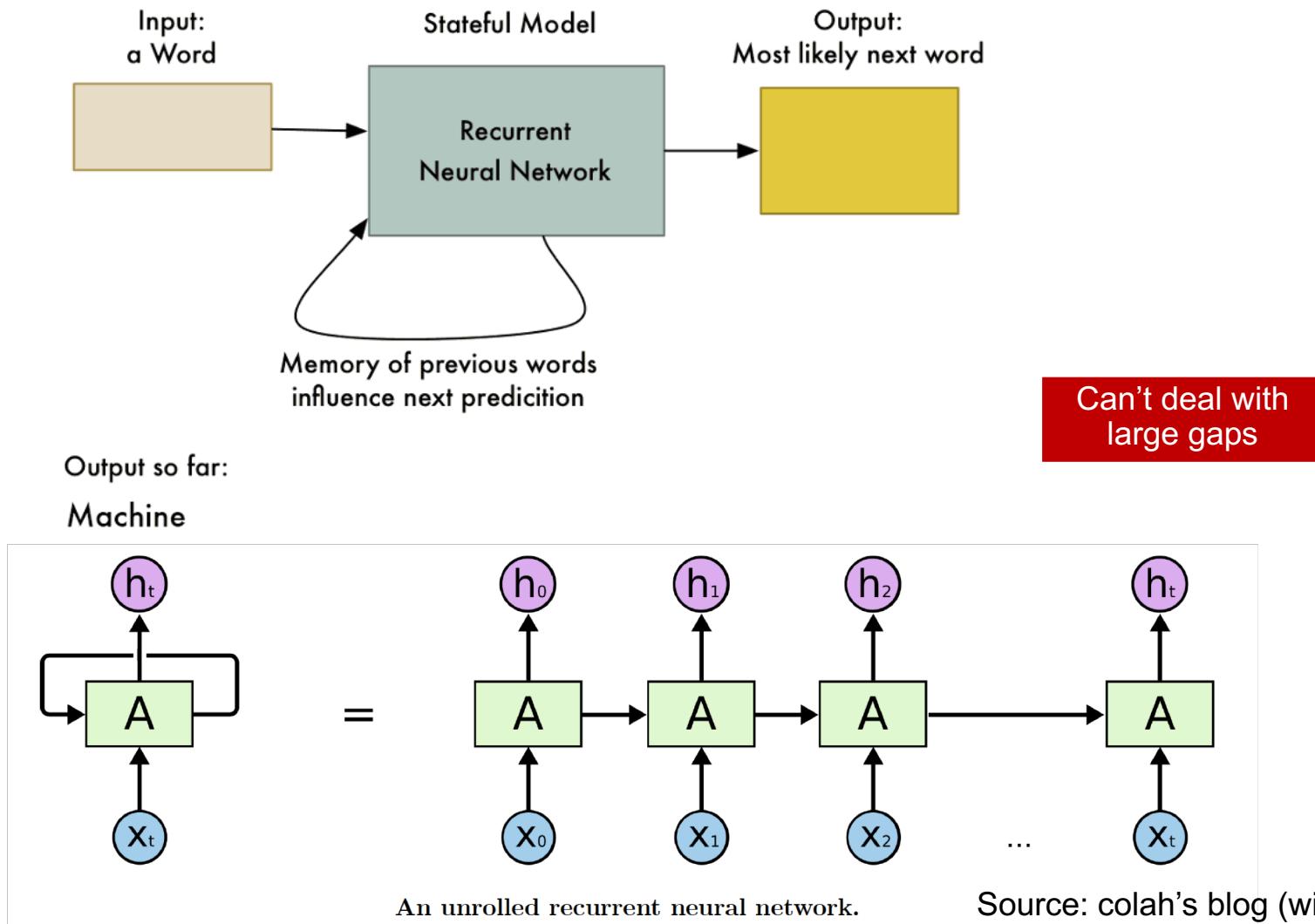


$$h = f(W^1 \cdot X + b^1)$$

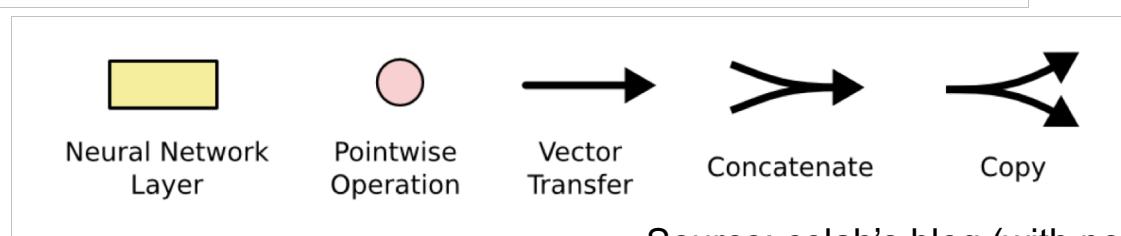
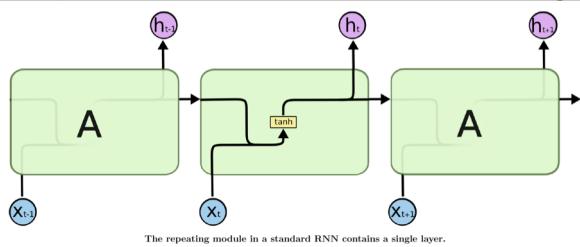
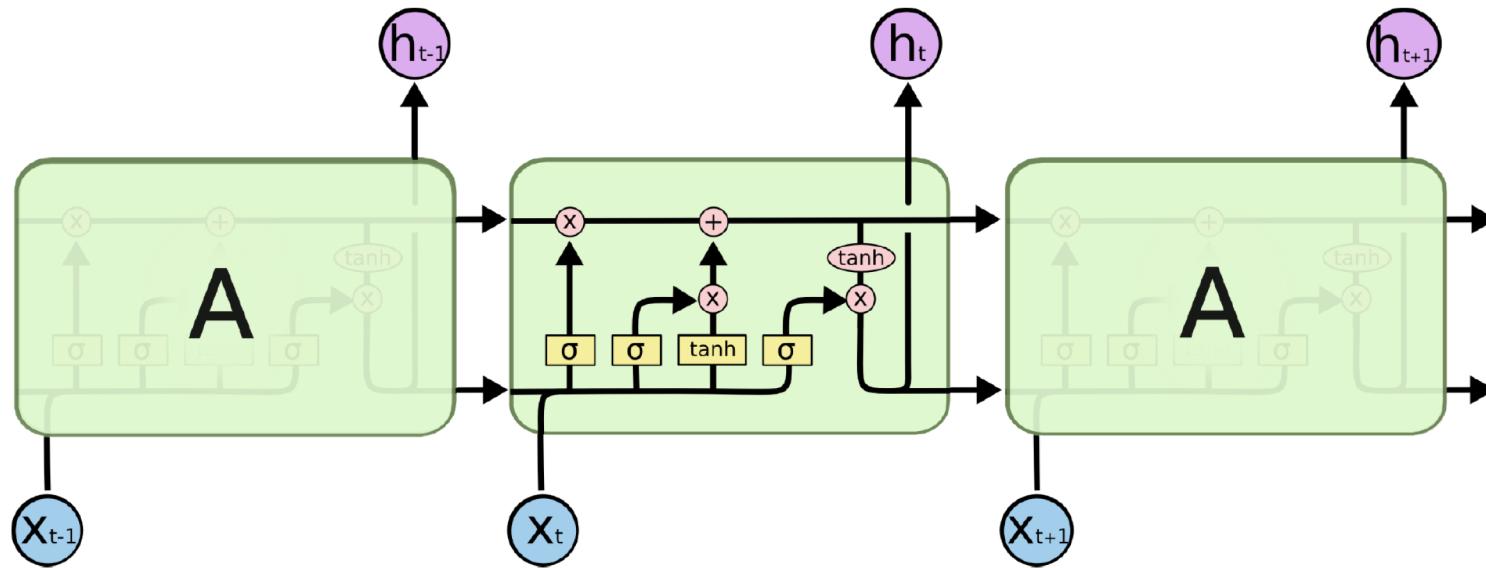
No state

Can't deal with sequential data





Long Short-Term Memory is a type of **gated** Recurrent Neural Network (RNN)  
 Proposed by Hochreiter and Schmidhuber in 1997



Source: colah's blog (with permission)

## › LSTM

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \text{sigm} \\ \text{sigm} \\ \text{sigm} \\ \tanh \end{pmatrix} T_{(n_{l-1}+n_l), (4n_l)}^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^l \end{pmatrix}$$

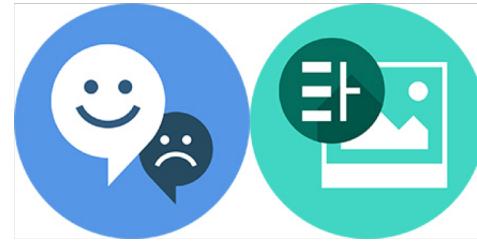
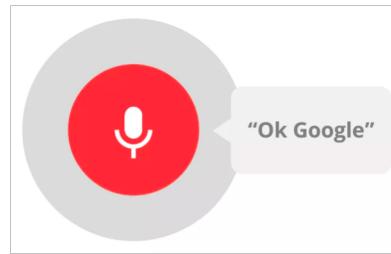
$$\begin{aligned} c_t^l &= f \odot c_{t-1}^l + i \odot g \\ h_t^l &= o \odot \tanh(c_t^l) \end{aligned}$$

› Followed by a single linear fully connected layer

$$f_t = T_{n_L, n_L}^{L+1} h_t^L$$



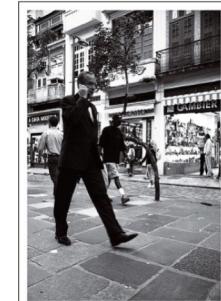
# Applications



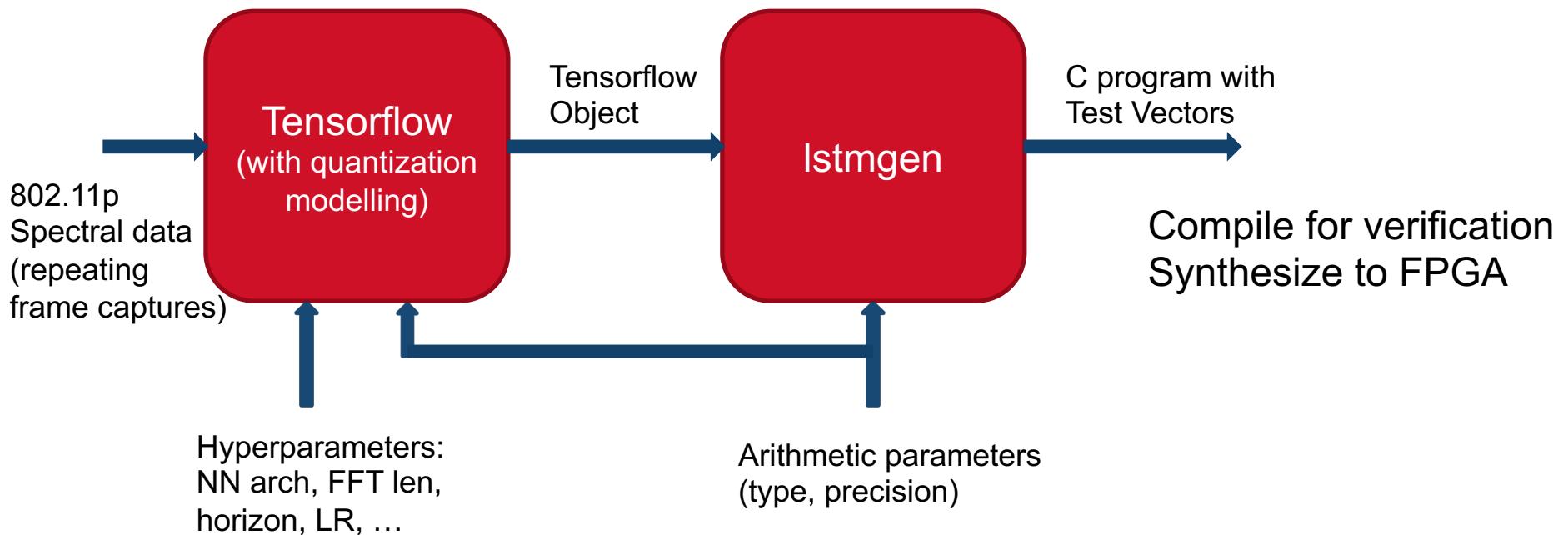
↑ a living room with a couch and a television



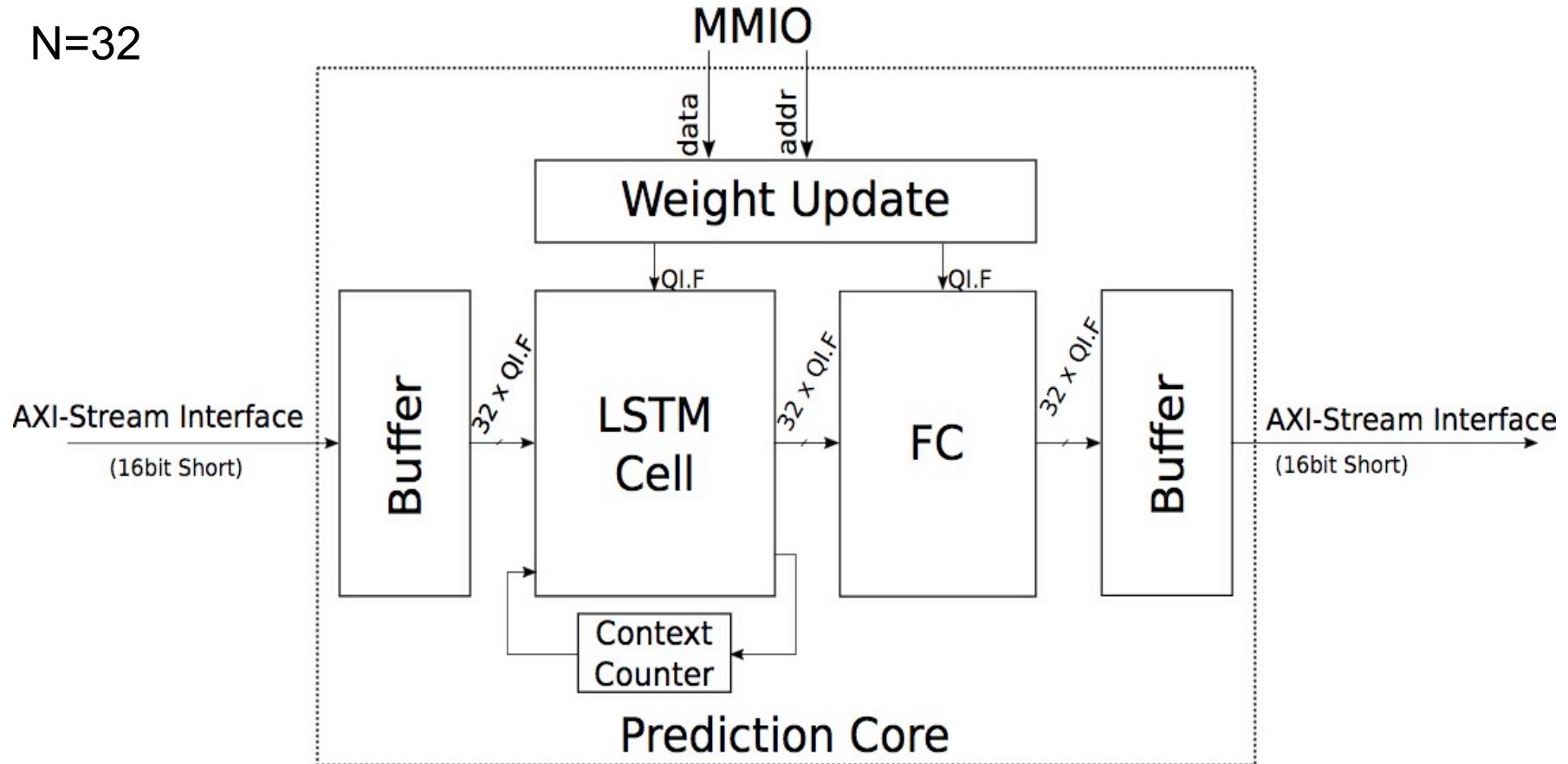
↑ a man riding a bike on a beach



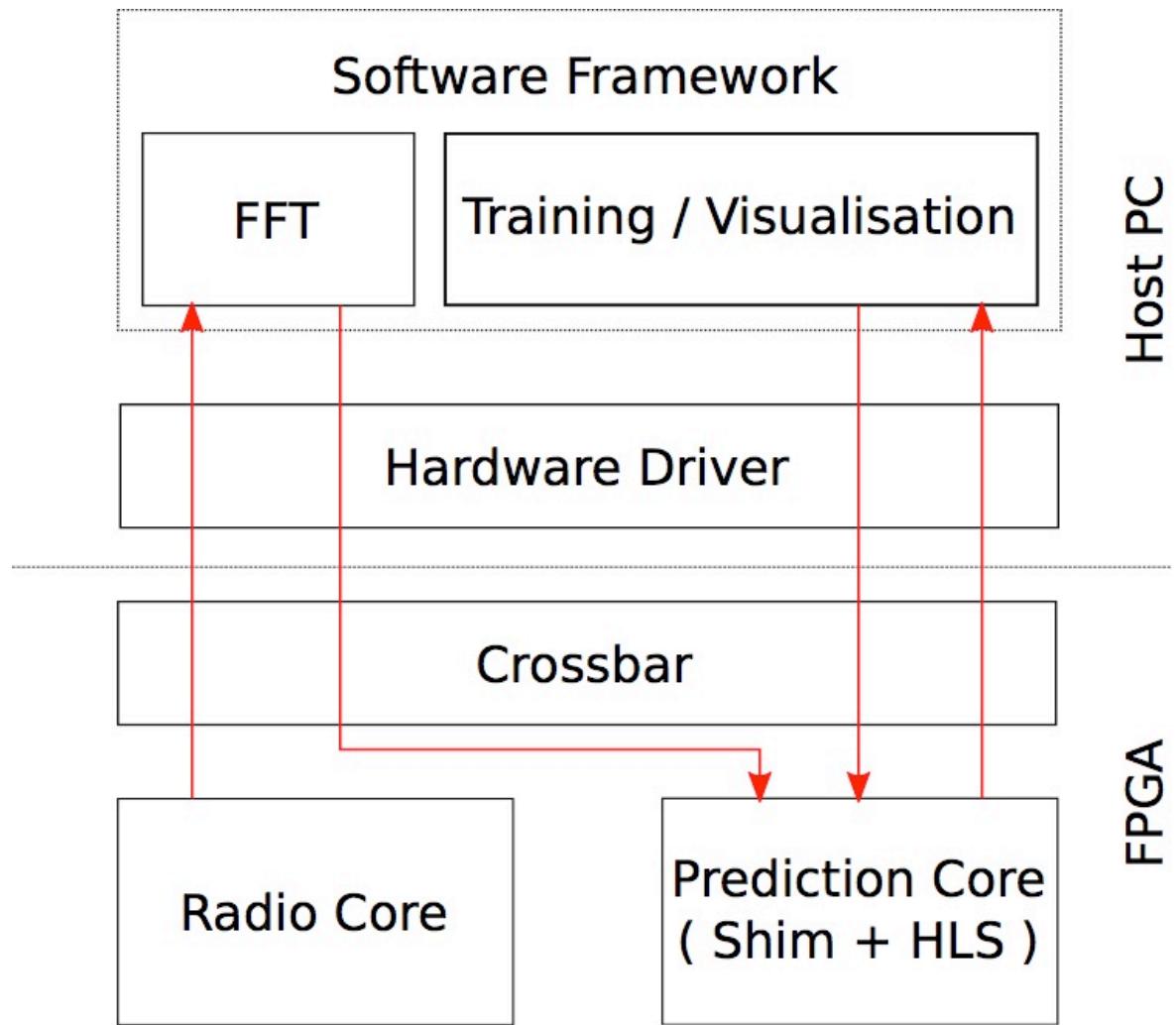
a man is walking down the street with a suitcase ↗

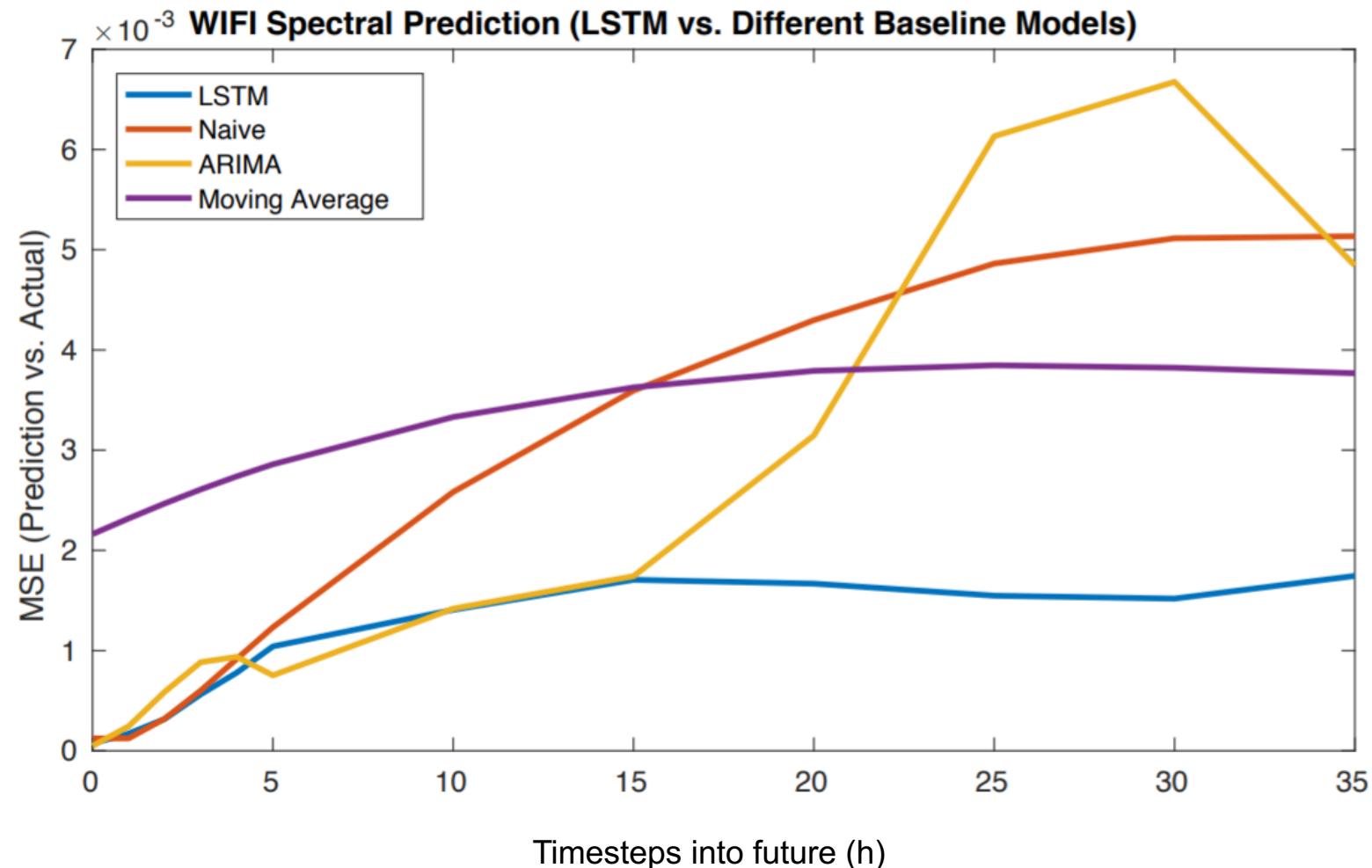


N=32

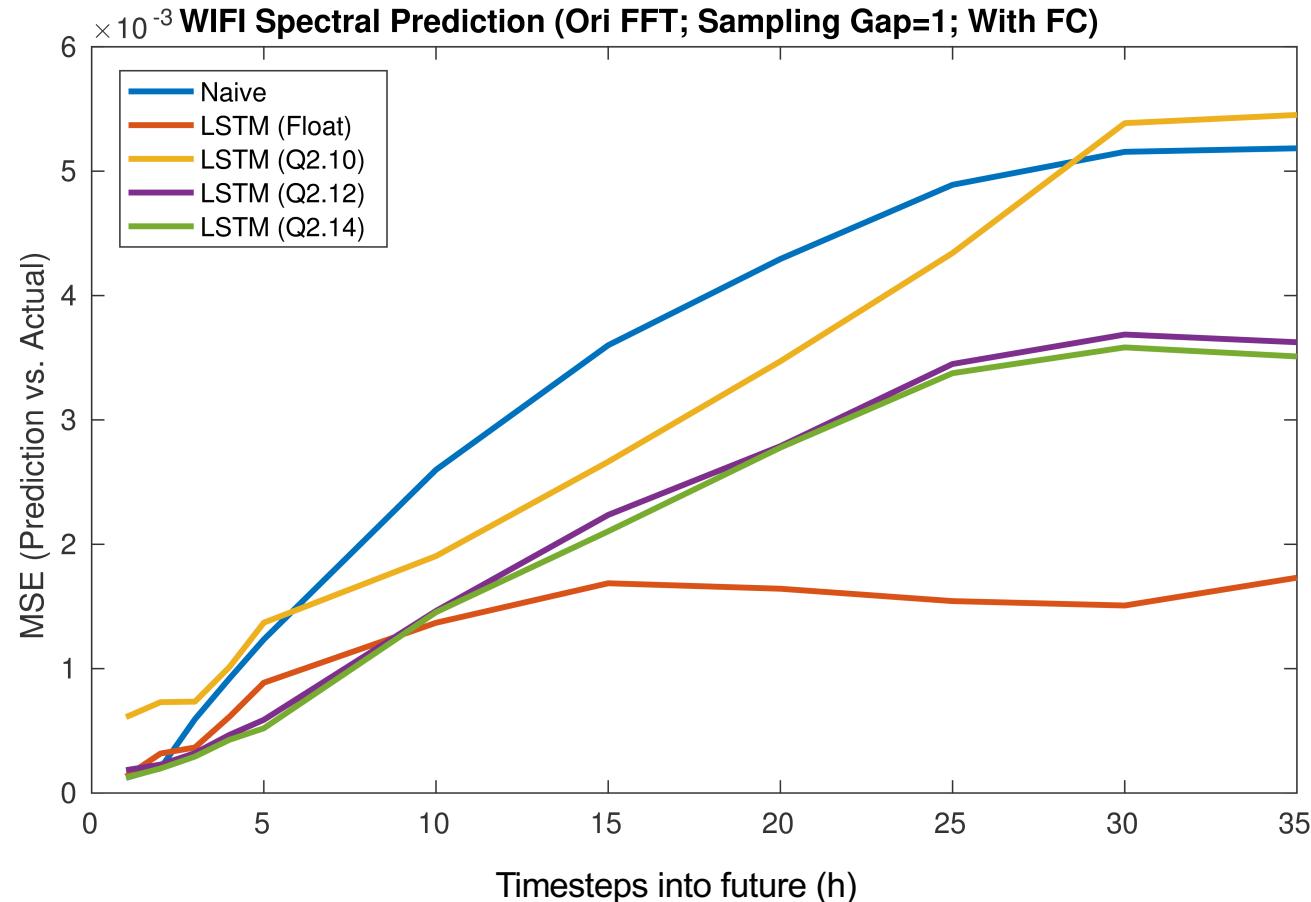


- › Implemented on Ettus X310
- › Software
  - GNU Radio integration to manage data movement
  - Offline LSTM training
- › Hardware Acceleration
  - RFNoC framework
  - Prediction Core on FPGA

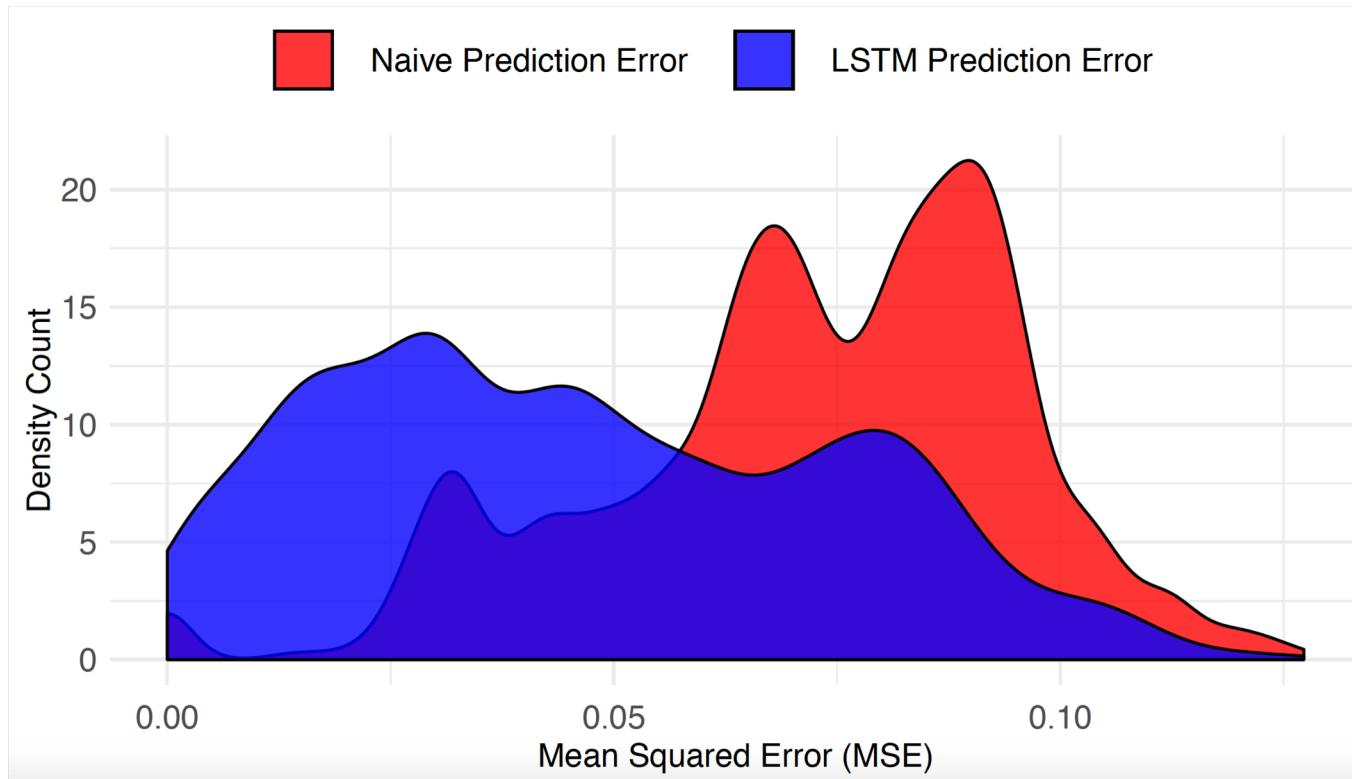




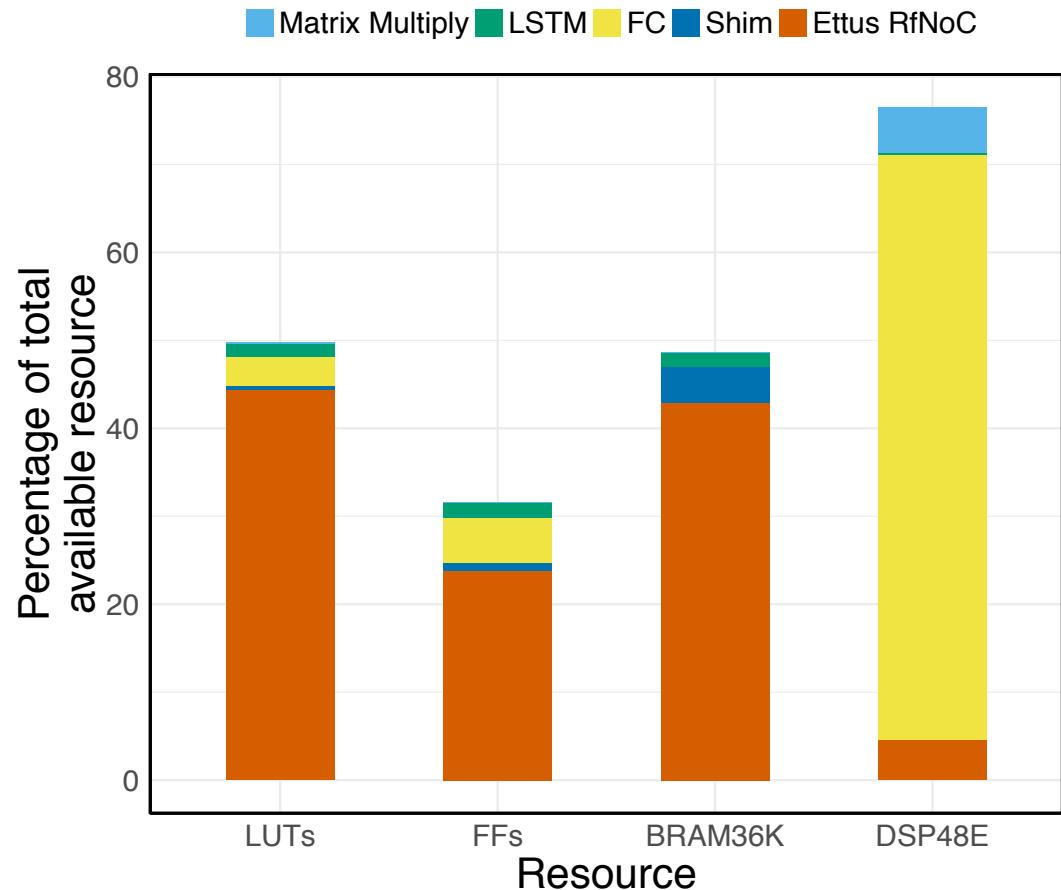
- › Fixed-point implementations have lower latency
- › Q2.12 needed to preserve numerical accuracy



- › N=32 history, h=4 prediction horizon
- › Accuracy measured as the mean-squared error loss from true value
- › LSTM gives better predictions than conventional approaches



- › C-code synthesised to Kintex-7 XC7K410T FPGA for Ettus X310
  - Achieves 4.3  $\mu$ s latency (32 inputs and outputs)
- › Limited by DSPs (~80% of 1540 available)
  - FC layer is fully unrolled to reduce prediction latency
- › Most logic resources and on-chip memory used by RFNoC framework
  - Could customize design to reduce footprint and allow larger/deeper networks
  - Kintex Ultrascale with 2x more DSPs are already available



- › Described an LSTM module generator
  - Compatible with Tensorflow
  - Generates C programs of arbitrary size, topology and precision
  - Testable and synthesisable to efficient FPGA implementation
- › Low-precision fixed point LSTM can achieve better spectral prediction accuracy than conventional approaches such as Naïve or ARIMA
- › Real-time LSTM-based spectral prediction feasible
  - Input/output lengths of 32; Q2.12 implementation fits easily on Ettus X310 and achieves latency of 4.3 us
- › Our future research will explore how such predictions can be used to improve tactical/cognitive radios



- › Presented three ideas for improving neural network performance
  - SYQ – apply symmetry to the quantisation of a CNN
  - TS multiplier – use special cases in distribution to reduce critical path (helps for relatively large wordlength)
  - LSTM – integrate all parts of a system to minimise latency
- › The three ideas can be combined for greater gains in efficiency

Available from <http://phwl.org/papers/>

- › Julian Faraone, Nicholas Fraser, Michaela Blott, and Philip H.W. Leong. [SYQ: Learning symmetric quantization for efficient deep neural networks](#). In *Proc. Computer Vision and Pattern Recognition (CVPR)*, June 2018. ([doi:10.1109/CVPR.2018.00452](https://doi.org/10.1109/CVPR.2018.00452))
- › Duncan J.M. Moss, David Boland, and Philip H.W. Leong. [A two-speed, radix-4, serial-parallel multiplier](#). *IEEE Transactions on VLSI Systems*, 2018. accepted 3 Nov, 2018.
- › Siddhartha, Yee Hui Lee, Duncan J.M. Moss, Julian Faraone, Perry Blackmore, Daniel Salmond, David Boland, and Philip H.W. Leong. [Long short-term memory for radio frequency spectral prediction and its real-time FPGA implementation](#). In *Proc. MILCOM*, October 2018.